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### MORGAN & FINNEGAN RECEIVED

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**PATENT** 

AUG 1 0 2005

Docket No.: 1232-4756US1

## <u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

Applicant(s):

TAKEUCHI et al.

Group Art Unit:

**TBA** 

Serial No.:

10/684,294

Examiner:

**TBA** 

Filed:

October 10, 2003

For:

OPTICAL ELEMENT AND MANUFACTING METHOD THEREFOR

#### CERTIFICATE OF FACSIMILE TRANSMISSION

Mail Stop Amendment COMMISSIONER for PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

I hereby certify that the following item(s):

- Information Disclosure Statement (IDS); and 1.
- Form PTO-1449; and 2.
- One (1) reference listed in the Form PTO-1449 (3 pages) 3.

is/are being transmitted pursuant to 37 C.F.R. §1.8 by facsimile on the date indicated below to MS Amendment at the following facsimile number: (571) 273-8300. Transmission Total: 9 pages (including this cover sheet).

By:

Respectfully submitted, MORGAN & FINNEGAN, LLP

Date: August 10, 2005

Sungho Hong

Registration. No. 54.

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MORGAN & FINNEGAN, LLP 3 World Financial Center New York, New York 10281-2101 (212) 415-8700 (Telephone) (212) 415-8701 (Telecopier)

**2**002/009

AUG 1 0 2005

Docket No. 1232-4756US1

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	(s): TAKEUCHI et al.					
		Group Art Unit:	TBD			
Serial No.	: 10/684,294	Examiner:	TBD			
Filed:	October 10, 2003	Examiner:	IBD			
For:	OPTICAL ELEMENT AND MA	ANUFACTURING	METHOD THEREFOR			
	INFORMATION DISCI	OSURE STATEM	<u>MENT</u>			
Commissi P.O. Box	Amendment oner for Patents 1450 a, VA 22313-1450					
Sir:						
	This Information Disclosure State	ment is filed in acc	ordance with 37 C.F.R.			
§§1.56, 1.	97 and 1.98. The items listed on Form	PTO-1449, a copy	of which is enclosed, are			
made of re	ecord to assist the Patent and Trademar	k Office in its exam	nination of this application.			
The Examiner is respectfully requested to fully consider the items and to independently ascertain						
their teacl	ning.					
1.	1. For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:					
2.	For each of the following items listed not in the English language, a concise incorporated in the specification of the	explanation of the above-identified a	relevance of that item is pplication.			
3.	Any copy of the items listed on the enclosed with this Information Disclosubmitted to the Patent and Trademark	sure Statement was	previously cited by or			
	·					

4.

since it is being filed in compliance with:

application other than a CPA; or

No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement

37 C.F.R. §1.97(b)(1), within three months of the filing date of a national

		Comin No. 10/664 204				
		Serial No. 10/684,294 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or				
		37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or				
		37 C.F.R. §1.97(b)(4) before the mailing date of a first office action after the filing of an RCE under §1.114.				
5.	No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specifin paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.					
6.	A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement sin it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):					
		A check in the amount of \$180.00 is enclosed in payment of the fee.				
		Charge the fee to Deposit Account No. <u>13-4500</u> , Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.				
7.	it is be	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since eing filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final or a notice of allowance, whichever comes first, but before payment of the issue and is accompanied by:				
		ne of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragrap 19 elow; and				
		te fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 elow.				
8.	This I	nformation Disclosure Statement is being filed in compliance with:				
	a. 🗌	37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);				
	ъ. 🗌	37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).				

					Serial No. 10/684,294				
		c. 🗌	The fee due under 37 C.l below.	F.R. §§	1.17(h) is paid as set forth in paragraph 1				
9.		I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.							
		I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months price to the filing of this Information Disclosure Statement.							
10.	This document is accompanied by a Search Report Communication which was cited in a corresponding PCT or Foreign counterpart application								
11. A check in the amount of \$ is enclosed in payn C.F.R. §§1.17(h) and 1.17(p).					closed in payment of the fees due under 37				
Charge the fees due under 37 C.F.R. § 13-4500, Order No A DUPLI ATTACHED.				§§1.17(h) and 1.17(p) to Deposit Account No. ICATE COPY OF THIS SHEET IS					
	The Commissioner is hereby authorized to charge any additional fees which may required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1232-4756US1. A DUPLICATE COP THIS SHEET IS ATTACHED.								
					Respectfully submitted, MORGAN & FINNEGAN, L.L.P.				
Dated: August 10, 2005 By:			0, 2005	Sungho Hong Registration No. 54,571					
Correspondence Address:  MORGAN & FINNEGAN, L.L.P.  3 World Financial Center New York, NY 10281-2101 (212) 415-8700 Telephone (212) 415-8701 Facsimile									

	Docket No. <u>1232-47</u> 50US1 Serial No. <u>10/684,294</u>						
	c. The fee due under 37 C.F.R. §§1.17(h) is paid as set forth in paragraph 1 below.						
9. 🔲	I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.						
	I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.						
10. 🛚	This document is accompanied by $\square$ a Search Report $\boxtimes$ Communication which was cited in a corresponding $\square$ PCT or $\boxtimes$ Foreign counterpart application						
11.	11. A check in the amount of \$\\ c.f.R. \sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sima\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\sigma\si						
	Charge the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account No. 13-4500, Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.						
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Dated: A	Sungho Hong Registration No. 54,571						
Correspo	ndence Address:						
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	(212) 415-8700 Telephone						
	(212) 415-8701 Facsimile						

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FORM PTO-1449 INFORMATION DISCLOSURE CITATION			Attorney Docket: Serial No.: 1232-4756US1 10/684,294					
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